Electronic Acknowledgement Receipt					
EFS ID:	3370918				
Application Number:	10047607				
International Application Number:					
Confirmation Number:	5741				
Title of Invention:	Systems and methods for electronic trading that permit principal/broker trading				
First Named Inventor/Applicant Name:	Andrew C. Gilbert				
Customer Number:	63710				
Filer:	David E. Boundy				
Filer Authorized By:					
Attorney Docket Number:	01-1034 CF/34				
Receipt Date:	28-MAY-2008				
Filing Date:	14-JAN-2002				
Time Stamp:	21:17:22				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment After Final	01-1034_080523_MPEP_71 0_06_Request.pdf	57929 7b9ee32157c7353b1fdca01eab3ce223	no	6
		b9d55fdf	<u> </u>		

Warnings:

Information:

2 Amendment After Final	Amandmant Aftar Final	01-1034_080523_Suppleme	49022	no	10
	ntary_Amendment.pdf	a1fff834b590117a690663205055d7688 418a082	no	10	
Warnings:					
Information	:				
		Total Files Size (in bytes):	106951		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.